

Bruno Boulanger, Arlenda & Ulg

Astrid Jullion, UCB

BAYES2010, Belgium

a prediction-based clinical utility index for dose
determination in drug development
design space thinking applied to clinical development



Becoming Bayesian

the objective

an example of clinical trial

modeling of efficacy

modeling of safety

making prediction

Introducing the Design Space concept (again)

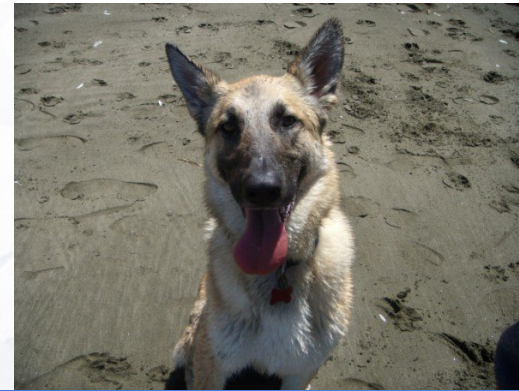
a prediction-based Clinical Utility Index (p-CUI)

results

conclusions

AGENDA

Becoming Bayesian is easy ;-)



The objective

In Early Clinical development

- The purpose is to identify the range of dose, if any, that will guarantee:
 - Efficacy in future late phase trials
 - Safety in the future
- To minimize to risks of investing in **low success** but **costly** late phase trials

Facts

- Efficacy usually based on **biomarkers** available
- The **number** of subjects or patients is usually limited
- Pre-clinical, historical data or competition **prior** information is usually available

A typical study

- A study conducted on **patients** (eg Phase IIa)
- A dose escalating trial, usually **multiple** dose
- An **adaptive** trial based on safety, exposure or efficacy is often envisaged **40-80**
- patients is common
- **Model-Based Drug Development:** Population Pharmacokinetics-pharmacodynamics (POP-PKPD) modeling is always performed.
 - Dose → Exposure → Efficacy
 - Dose → Safety



The PK-PD model

PK-PD Model

A system of Differential Equations:

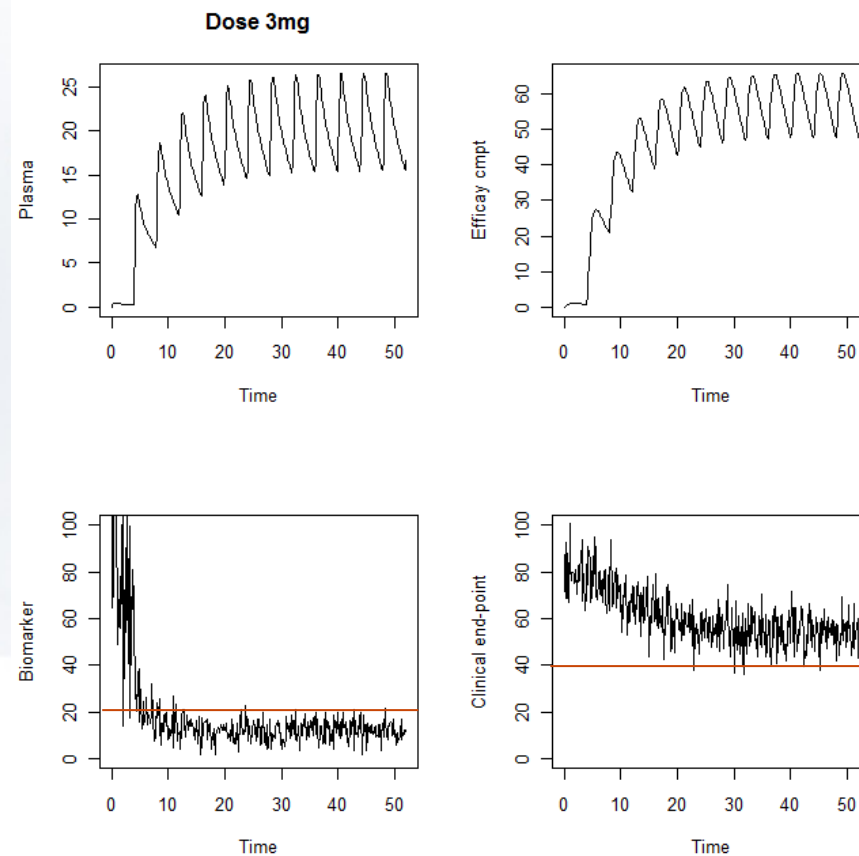
$$dA/dt = -KA \cdot A + Ri$$

$$dB/dt = KA \cdot A - CL/V1 \cdot B - Q/V1 \cdot B + Q/V2 \cdot C$$

$$dC/dt = Q/V1 \cdot B - Q/V2 \cdot C$$

$$dD/dt = KE0 \cdot ((B/V1) - D)$$

$$dE/dt = kout \cdot Base \cdot (1 - EMAX \cdot C1 / (EC50 + C1)) - kout \cdot E$$



Hierarchical PKPD Model

7

- PKPD Structural Model
 - $dA/dt = f_A(\theta_K, t)$
 - $dB/dt = f_B(\theta_K, t)$
 - $dC/dt = f_C(\theta_K, t)$
 - $dD/dt = f_D(\theta_K, t)$
 - Plasma(t) = $B(1 + \varepsilon_l)$
 - Biomarker(t) = $g(D, \theta_\delta, t)$
- Statistical part: Hierarchical model
 - $\Theta \sim N(\psi, \omega)$
 - $\Psi \sim N(\mu, \Sigma)$
 - $\varepsilon_i \sim N(0, \sigma^2)$
- WinBugs allows “easy” modeling of hierarchical ODE models.

ODE in WinBugs/BlackBox

ODE can be written with WBDiff in BlackBox

- Script in “fixed” format
- Compiled in BlackBox
- Called directly from WinBugs
 - ➔ For modeling
 - ➔ For simulations

```

(*1*) MODULE WBDiffBAYES2010;
  IMPORT
    WBDiffODEMath,
    Math;
  TYPE
    Equations = POINTER TO RECORD (WBDiffODEMath.Equations) END;
    Factory = POINTER TO RECORD (WBDiffODEMath.Factory) END;
  CONST
    nEq = 3;
  (*4*) DT1= 0; dose = 1; Q = 2;
  (*5*) Vmax = 3; Km = 4; V1=5; V2=6; Cl=7; ke0=8;
  (*8*) Cc = 0; B = 1; Ce=2;
  VAR
    fact: WBDiffODEMath.Factory;
  (*9*) PROCEDURE (e: Equations) Derivatives (IN theta, C: ARRAY OF REAL; n: INTEGER; t: REAL;
  (*10*)                                     OUT dCdt: ARRAY OF REAL);
  (*11*) VAR
  (*12*)   a: INTEGER;
  (*13*)   iinput, ainput: REAL;
  (*14*)
  (*15*) BEGIN
  (*16*)   IF t<=theta[DT1] THEN;
    a:=1;
  ELSE;
    a:=0;
  END;
  (*22*) iinput:=theta[dose];
  (*24*) ainput:=a*iinput;
  (*25*) dCdt[B] := ainput-(theta[Cl]/theta[V1]*C[B])-(theta[Q]/theta[V1]*C[B])+(theta[Q]/theta[V2]*C[Cc])-
  (theta[Vmax]*C[B]/theta[V1]*(1/(theta[Km]+(C[B]/theta[V1]))));
  (*27*) dCdt[Cc] := (theta[Q]/theta[V1]*C[B])-(theta[Q]/theta[V2]*C[Cc]);
  (*28*) dCdt[Ce]=theta[ke0]*((C[B]/theta[V1]) -C[Ce])
  (*35*) END Derivatives;

  PROCEDURE (equations: Equations) SecondDerivatives (IN theta, x: ARRAY OF REAL;
    numEq: INTEGER; t: REAL;
    OUT d2xd2: ARRAY OF REAL);

  BEGIN
    HALT(126)
  END SecondDerivatives;

```

```
solution[j,1:n.grid, 1:dim] <- BAYES2010 (init[j,1:dim], grid[1:n.grid], theta[j,1:9], origin, tol)
```

BAYES2010

Modeling and Prediction in Bugs

```
## Modeling #####
model {
  for (j in 1:N){
    solution[j,1:n.grid, 1:dim] <- BAYES2010(init[j,1:dim], grid[1:n.grid], theta[j,1:9], origin, tol)
    ...
    theta[j,7] <- dose[j];
    theta[j,8] <- Cl[j];
    ...
    for (i in 1:n.grid) {
      data[j,i] ~ dnorm(m[j,1],tauu[j,1])
      BMKR[j,i] ~dlnorm(logCRPmean[j,1],tauCRP[j])
      ...
    }
    Cl[j] ~dlnorm(logmuCl,tauCl)
    ...
  }

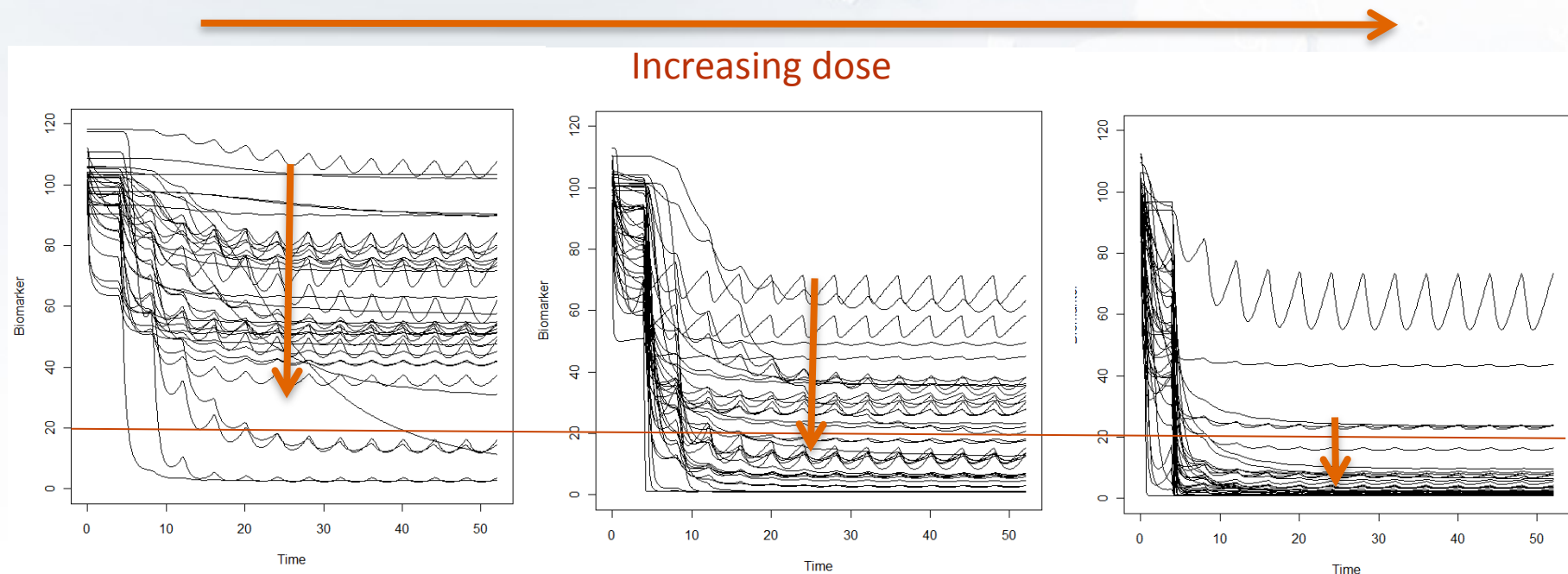
  ## Prediction #####

  for (kk in 1:nnn){
    ....
    thetapop[kk,7] <- (muV2); ← Contains the chain of posteriors
    ...
    PRED[kk,1:n.grid, 1:dim] <- BAYES2010(init[1,1:dim], grid[1:n.grid], thetapop[kk,1:9], origin,
      tol)
    meanconc[kk]<-sol[kk,8,Ce]
  }
}
```

Predictive probability to achieve Efficacy

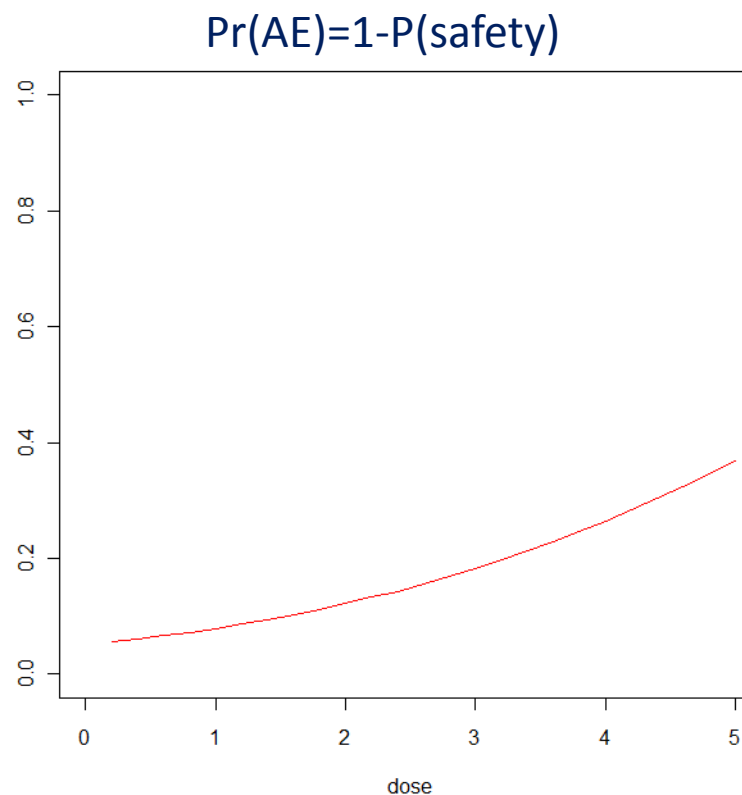
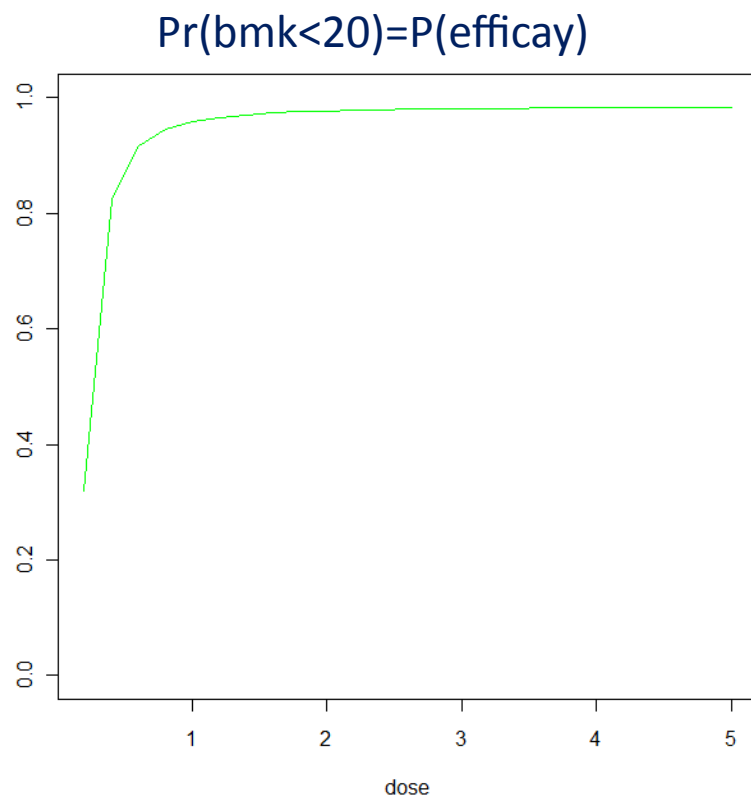
The predictive probability to achieve efficacy
(biomarker < 20 at 24 weeks) increase with dose.

The uncertainty of PK and PD parameters is taken
into account.



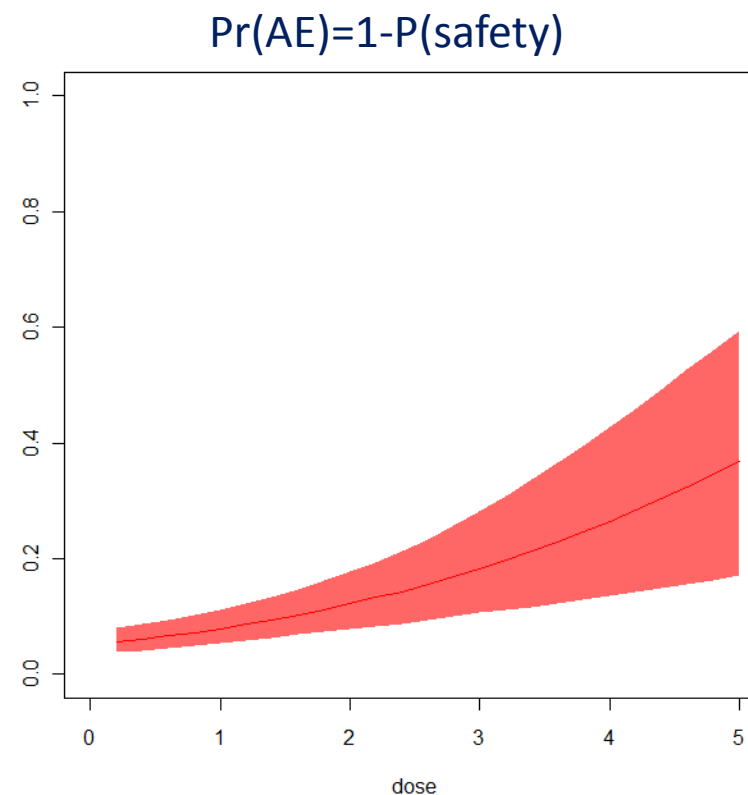
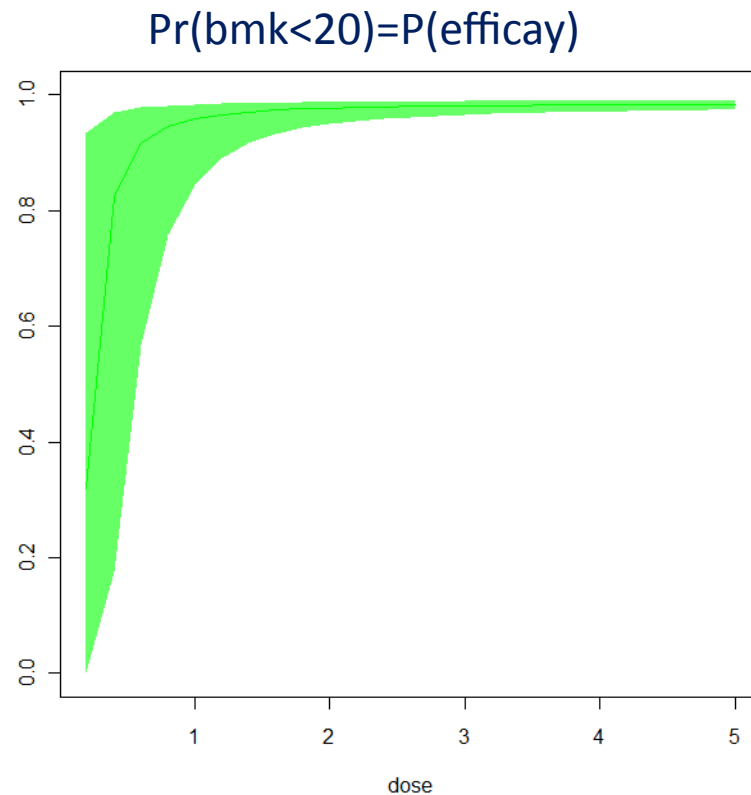
After the study, the model says....

The modeling of the data (PKPD+safety) would suggest that on average the probability of efficacy and safety is:



After the study, the model says....

The Bayesian prediction, that takes into account the uncertainty of the parameter estimates and provides a distribution on the probability



MARCH 2005

FOR GLOBAL BUSINESS AND MARKETING LEADERS

Pharmaceutical Executive

Trans- parent Trade- Offs

A clinical
utility index
(CUI) openly
evaluates
a product's
attributes—
and chance
of success

IN DESIGNING CLINICAL TRIALS, THE PHARMA INDUSTRY has been primarily concerned with a drug's efficacy, and therefore, modeling and simulation technologies used for those designs have also focused on effi-



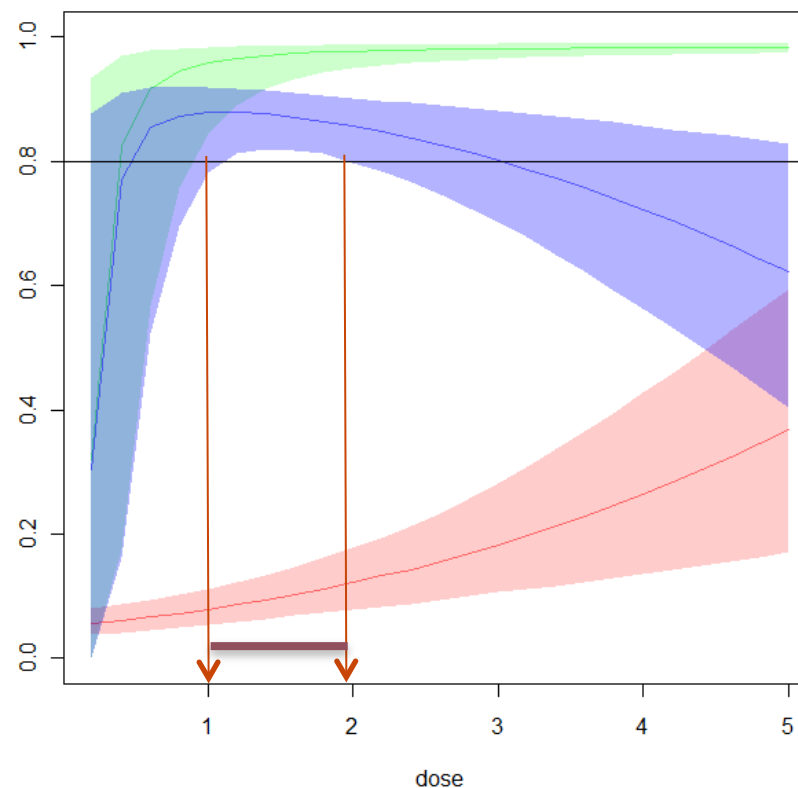
Prediction-Based Clinical Utility Index

The **clinical utility index (CUI)** quantifies factors like a product's efficacy, safety, cost and makes **trade-offs** transparent to decision makers.

A CUI provides a single metric for multiple dimensions of benefit and risk

Proposal: Use the predictive probability of Efficacy and Safety:

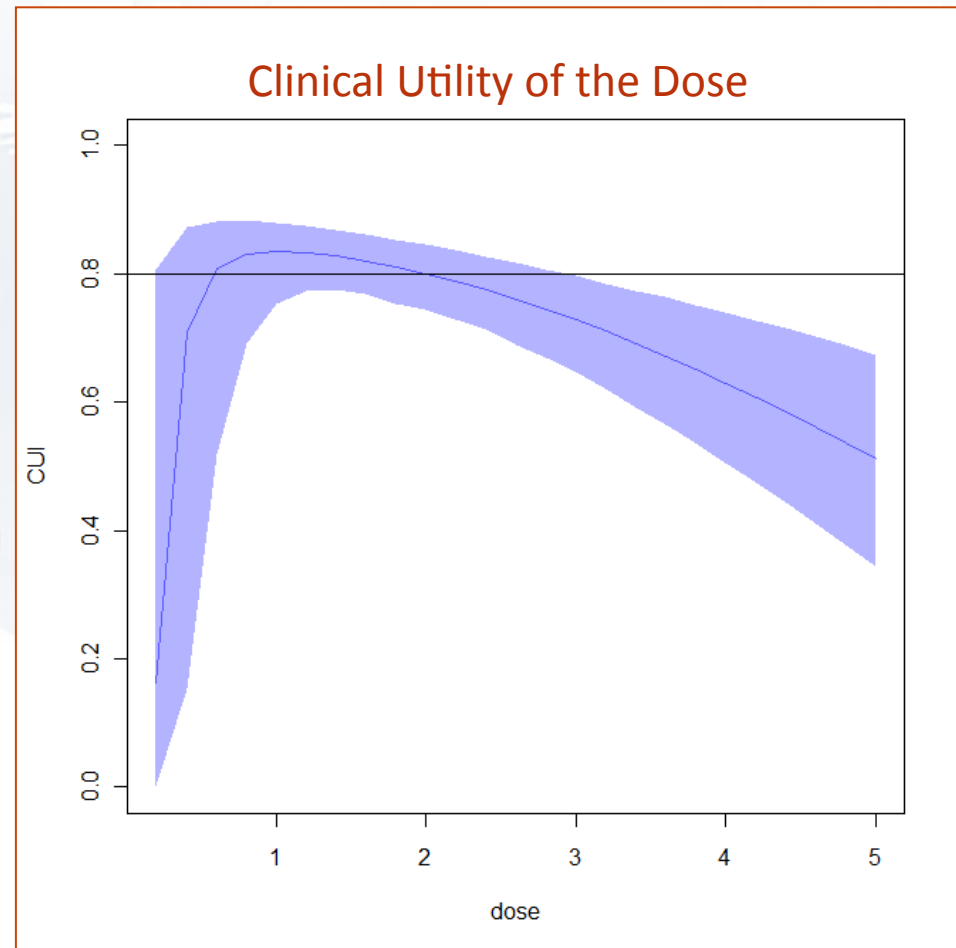
→ $p(\text{efficacy}) * p(\text{safety})$



Clinical Utility of the Dose

Once based on joint probability it has a direct interpretation:

- ➔ The predictive probability the objective will be met
- ➔ The uncertainty remaining to make a clear cut decision is available

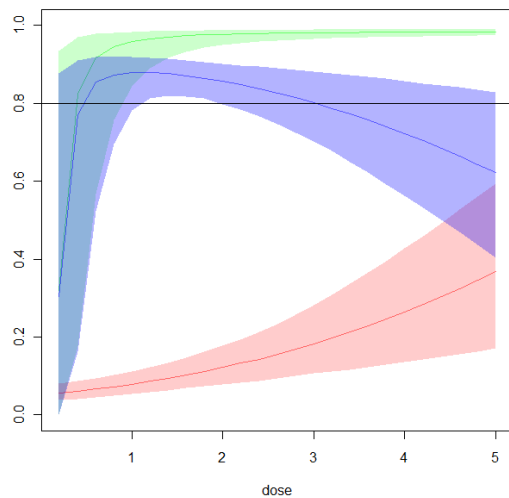


Making Trade-offs

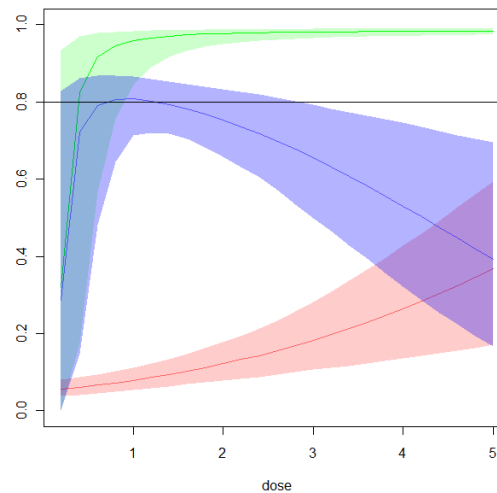
One can say that weight Safety \gg weight Efficacy

→ Eg $p(\text{efficacy})^{w_e} * p(\text{safety})^{w_s}$ with $w_s = 2 * w_e$

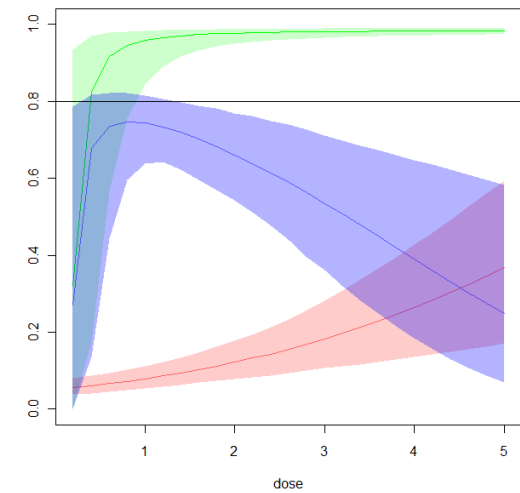
Similarly to Derringer's function, the different factors can be weighted according to the objective.



$w_s = 1 * w_e$



$w_s = 2 * w_e$



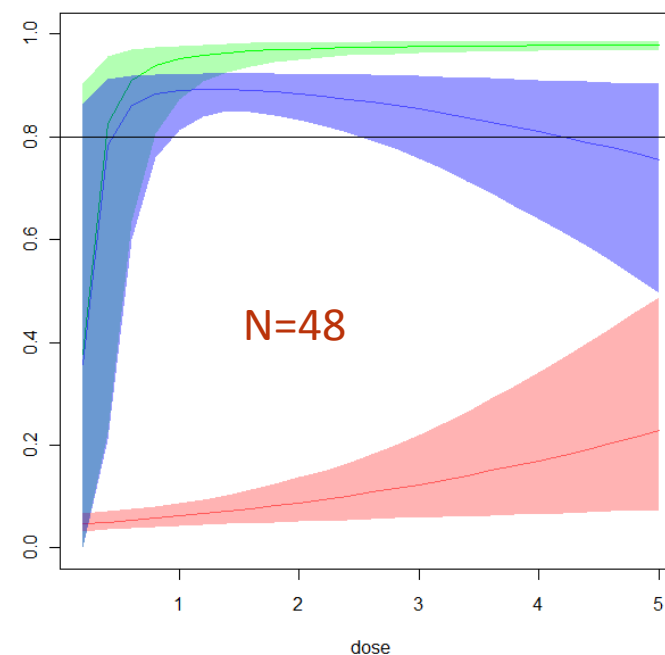
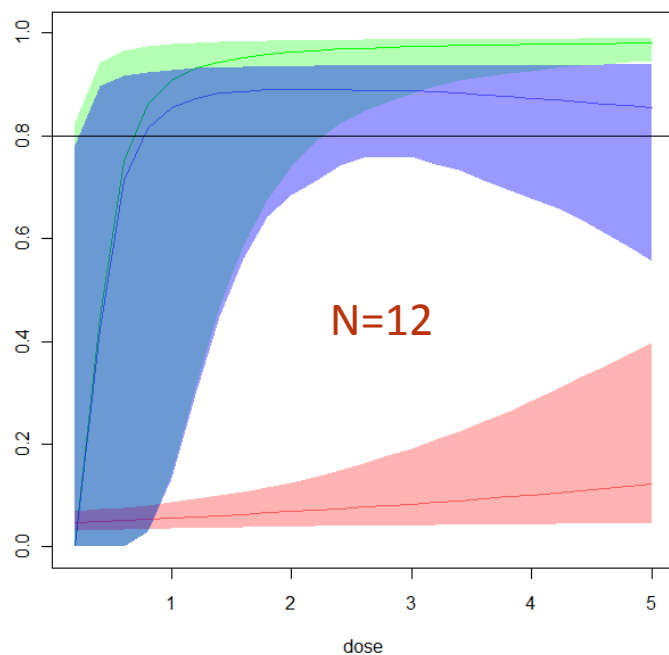
$w_s = 3 * w_e$



Making a decision

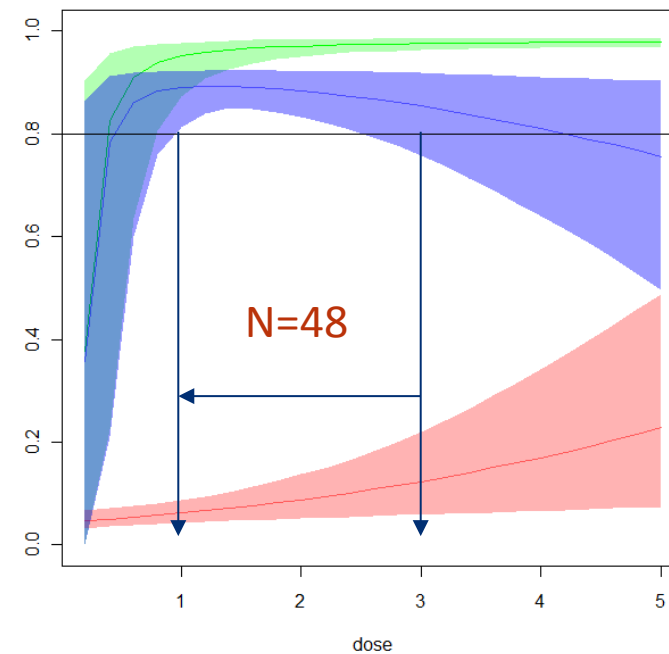
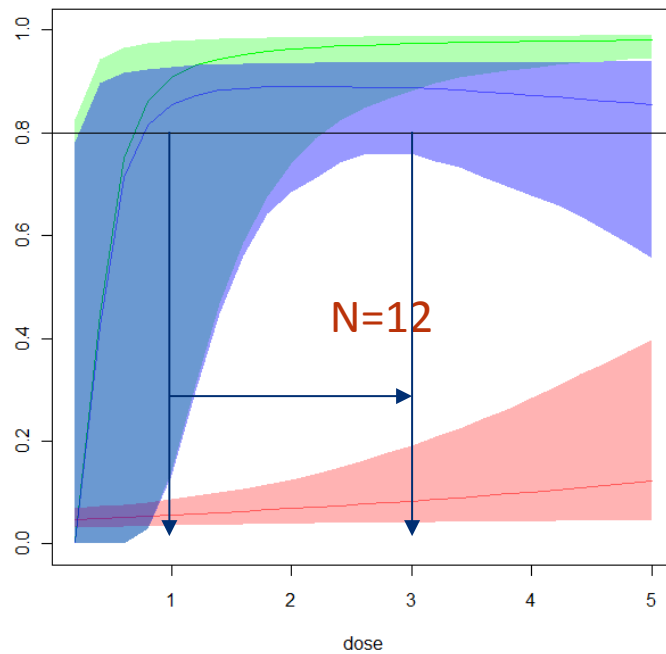
When increasing the sample size, the credibility increases about the Clinical Utility

➔ Power early phase studies according to ability to predict and make decision under various scenarios



Dose choice and uncertainty

Increasing the dose is not an alternative to uncertainty.



Priors

Priors should be defined based on information available

→ Pre-clinical data about EC50 (PD)

→ Competitor data about PK and Emax

→ Literature about variability of in population

→ Laboratory documents about assay precision

PK-PD Model

$$dA/dt = -KA * A + R_i$$

$$dB/dt = KA * A - CL/V1 * B - Q/V1 * B + Q/V2 * C$$

$$dC/dt = Q/V1 * B - Q/V2 * C$$

$$dD/dt = KE0 * ((B/V1) - D)$$

$$dE/dt = k_{out} * Base * (1 - E_{max} * C1 / (EC50 + C1)) - k_{out} * E$$

$$\Theta \sim N(\psi, \omega)$$

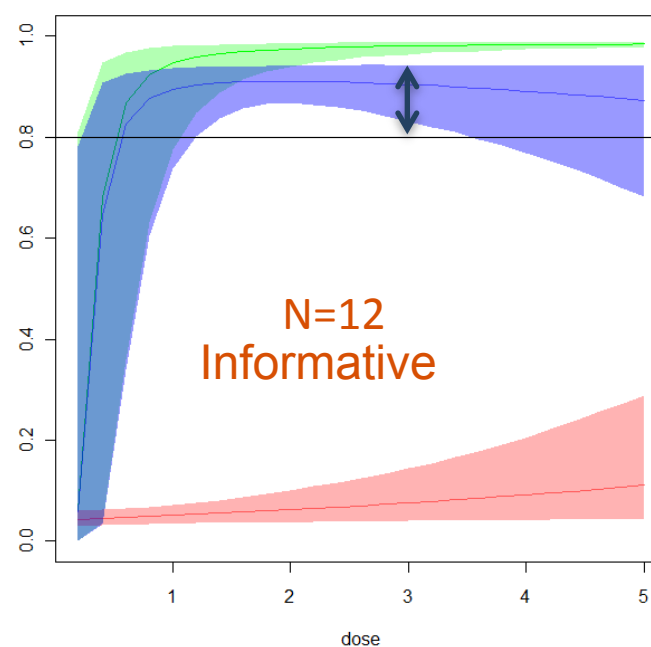
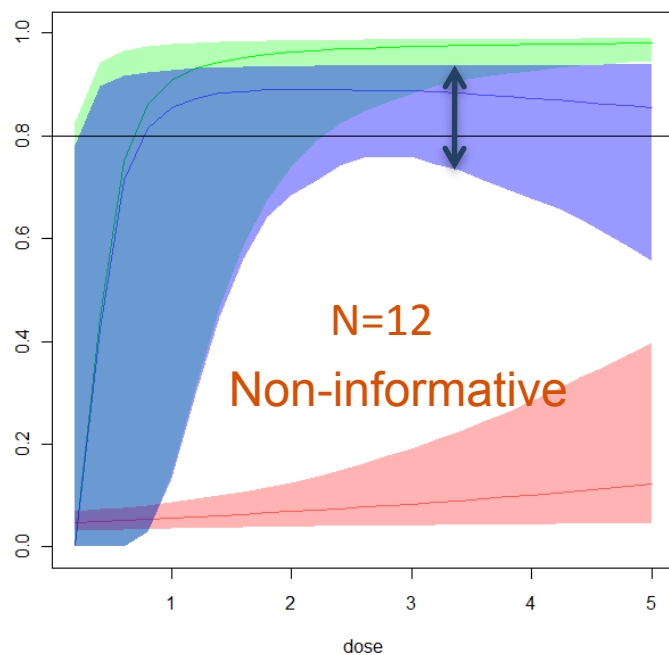
$$\Psi \sim N(\mu, \Sigma)$$

$$\epsilon_i \sim N(0, \sigma^2)$$

Value of priors

When priors are available and can be justified, their use has a major contribution

- On the sample size (ethics) required to achieve the same decision
- On the decision about the compound



ICH Q8 definition of design space

Design Space is defined as:

*"The multidimensional combination and interaction of **input variables** (e.g. material attributes) and process parameters that have been demonstrated to provide **assurance of quality**."*

- **Input Variables (X)**
 - Controlled: **Dose**
 - Estimated: **Exposure**
- **Quality:= specifications (Y)**
 - Clinical end point, minimal improvement defined by MDs
 - Safety measurements and criteria
- **Assurance**
 - Predictive Probability the improvement and safety will be achieved.



Bayesian Predictive Design Space

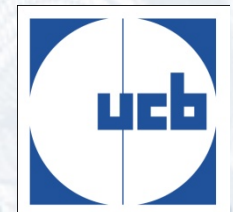
Based on the **Predictive Distribution** of future outcomes given the uncertainty of model estimates :

$$p(\tilde{x}|data) = \int_{\theta} p(\tilde{x}|\theta) \times p(\theta|data) d\theta$$

The Bayesian Predictive Design Space is

The **Design Space** is the range of doses such that predictive probability of having efficacy & safety s is greater than a specified minimal Quality level

$$\{dose_0 \in \chi \mid E_{\theta|x,data} \{P[O \in \Lambda] \mid x, \theta, data\} \geq \pi_{\min} \}$$



Design Space graphically



Input Variables

Range of doses

Assurance

That will guarantee

Quality

Efficacy and safety

$$\{dose_0 \in \mathcal{X} \mid E_{\theta|x, data} \{P[O \in \Lambda] \mid x, \theta, data\} \geq \pi_{\min}\}$$



Conclusions

- Make **predictions**, unless you're in Phase III
 - That's the very essence of your job.
 - Today's technology/modeling permits it easily.
- **Priors** have value and are justified in early phases
- Dose ranging studies are a special case of Design Space
 - ➔ harmonization of practices
- Bayesian modeling allow you to connect design and results to **decision**
- In early phase, **role** of statisticians is to permit decisions



bruno@arlenda.com

THANK YOU

